

Docket No. 245539US2DIV

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Seiichi MORI, et al.

SERIAL NO: NEW DIVISIONAL APPLICATION

FILED: HEREWITH

FOR: SEMICONDUCTOR DEVICE HAVING TWO-LAYERED CHARGE STORAGE ELECTRODE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wishes to make of record the references listed on the attached PTO-1449 form. A copy of the Korean Office Action with English translation, Japanese Laid Open H11-261038 with English abstract and Japanese Laid Open H10-335497 with English abstract are attached hereto. Copies of the other listed references were made of record in parent application serial no. 09/984.599, filed October 30, 2001, as were either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>245539US2DIV</b>		SERIAL NO. <b>NEW DIVISIONAL APPLICATION</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Seiichi MORI, et al.</b>			
				FILING DATE <b>HEREWITH</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,391,722	05/21/2002	KOH			
	AB	6,171,909	01/09/2001	DING ET AL			
	AC	6,153,494	11/28/2000	HSIEH ET AL			
	AD	6,153,472	11/28/2000	DING ET AL			
	AE	6,342,715	01/2002	SHIMIZU ET AL			
	AF	6,310,374	10/2001	SATOH ET AL			
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                  NO		
	AO	11-87543	03/30/1999	JAPAN (w/ENGLISH ABSTRACT)		X	
	AP	H11-261038	09/24/1999	JAPAN (w/ENGLISH ABSTRACT)		X	
	AQ	H10-335497	12/18/1998	JAPAN (w/ENGLISH ABSTRACT)		X	
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	Korean Office Action					
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							